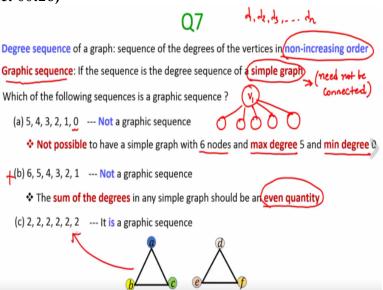
## Discrete Mathematics Prof. Ashish Choudhury International Institute of Information Technology - Bangalore

## Lecture - 54 Tutorial 9: Part II

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Hello, everyone, welcome to the second part of tutorial 9. So, let us start with question number 7. So, here we first define what we call as the degree sequence of a graph and the degree sequence of a graph is basically the sequence of degrees of the vertices in non increasing order. So, you list down the highest degree vertex or the degree of the highest vertex first followed by the next highest degree, followed by the next highest degree and so on.

So, if you have n vertices, basically you are listing down the degrees of the n vertices in a non increasing order. And we say a sequence of n values as a graphic sequence, if you can construct a simple graph whose degree sequence is the given sequence, if you cannot draw any simple graph whose degree sequence is a given sequence, then the given sequence will not be called as a graphic sequence.

So I stress here that we need a graph only to be simple it need not be connected, it is fine if the graph is not connected. So the first few parts of question 7 basically asks you to prove or disprove which of the given sequences is a graphic sequence. So let us take the first sequence 5, 4, 3, 2, 1, 0. Of course, 1 obvious condition in a graphic sequence should be that values

should be non negative, you cannot have a vertex with a negative degree so that is a trivial

condition

So in this case, we have to verify whether we can draw a simple graph with 6 nodes where

the highest degree is 5 and the smallest degree is 0. And it is easy to see that this sequence is

not a graphic sequence. Because you cannot have a simple graph with 6 nodes where the

maximum degree is 5 and the minimum degree is 0. Because if say  $v_1$  is the vertex which has

the maximum degree, so if its degree is 5, then it should be a neighbour of each of the

remaining 5 nodes.

That means each of the remaining 5 nodes will have a degree which is non 0, but you also

need a vertex with a degree 0 among those 6 nodes, which is not simultaneously possible. So,

now let us take the second sequence (6,5,4,3,2,1) and try to argue whether the sequence is a

graphic sequence or not. And again, this sequence is not a graphic sequence, but there are

several ways by which you can refute that this sequence is not a graphic sequence.

One simple way is that if you take the sum of the values that are given in this sequence is not

an even quantity, but we know that for any graph, it may not be a simple graph for any graph

the sum of the degrees of all the vertices is twice the number of edges which is an even

quantity. So, 1 obvious condition that should be satisfied by any graphic sequence is that if

you sum the values given in the sequence, it should be an even quantity, which is not the case

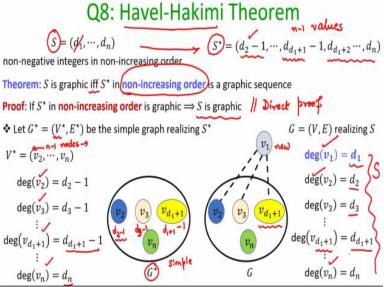
for the sequence given here. Let us consider the third sequence and the sequence is a graphic

sequence and this is a simple graph which realises or which has this degree sequence

(2,2,2,2,2,2).

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So, now in question 8, we want to characterise that, we want to find out a characterization for graphic sequences. So, if you are given a sequence with n values, how can you verify whether that sequence is a graphic sequence or not we cannot keep on drawing all possible simple graphs and then either prove or refute that a given sequence is not a graphic sequence, we need an algorithmic characterization, a necessary and sufficient condition and that is given by what we call as Havel-Hakimi theorem.

So here we are given the following you are given sequence S of n non negative integers in non increasing order and you have a reduced sequence  $S^*$ . It is reduced in the sense it has n - 1 values whereas the sequence S has n values. So how exactly we construct a sequence  $S^*$ . So, the way we construct  $S^*$  from S is the following, we first to remove the value  $d_1$ , and then from the next  $d_1$  degrees or from the next  $d_1$  values in the sequence S we subtract 1 from each of those  $d_1$  values in the sequence S.

So  $d_2$  gets decremented by 1,  $d_3$  gets decremented by 1, and  $d_{1+1}$  th term gets decremented by 1. Whereas, from  $d_{d1+2}$ th term to the  $d_n$  th term, the degrees remain the same as they were in the sequences. So, that is the way we obtained the sequence  $S^*$ . And what Havel-Hakimi theorem says is the following it says that your sequence S is a graphic sequence if and only if the reduced sequence  $S^*$  when arranged in a non increasing order is also a graphic sequence.

So, for the moment imagine that this theorem is true, how exactly we can use this theorem to verify whether a given sequence of S values is a graphic sequence or not? Well, we have to reduce the sequence S and build a new sequence S\* and then rearrange that terms in S\*, so,

that the new degrees are in a non increasing order. And now, we have to verify whether the reduced sequence S\* is a graphic sequence or not. To do that, I can again apply the Havel-Hakimi theorem.

Now, this reduced to sequence S\* can be further reduced to n - 2 degrees, where I can remove the first degree from S\* and to compensate that I subtract 1 from the next few degrees. And then the next reduced sequence again is arranged in a non increasing order and then we can verify whether that sequence is a graphic sequence or not. And I can keep on repeating this process; keep on decreasing my sequence till I obtain a very short sequence which I can very easily verify whether it is a graphic sequence or not.

If it is a graphic sequence then I can come back all the way and declare that my big sequence my original sequence S is a graphic sequence. Whereas if the reduced sequence or the small sequence at which I stop and inspect and find out that it is not a graphic sequence, then I can declare that my original sequence S also not a graphic sequence. So, that is a way I can apply the Havel-Hakimi theorem to verify whether a given sequence is a graphic sequence or not. So now, let us prove this theorem and this is an if and only if statement.

So, we have to prove 2 implications: let us first prove the easier one. So, we want to prove that if S\* when arranged in a non increasing order is graphic, then so is the sequence S, what does this mean: so I will give a direct proof for this implication. And when I say I will give a direct proof, I mean to say that I will assume that my premise is true and I will arrive that my conclusion is also true so, assume that my premise is true.

That means, since my sequence  $S^*$  as a graphic sequence, I can construct a graph, a simple graph  $G^*$  with n-1 vertices and some edges whose degree sequence is the same as the sequence  $S^*$ , what does that mean? So, I can imagine that my vertex set  $V^*$  has n-1 nodes. I call those nodes as  $v_2$ ,  $v_3$ ,  $v_n$ . And since it realises the sequence  $S^*$  that means, I have a vertex of degree  $d_2-1$ . Let  $v_2$  be that vertex.

I will have a vertex with the degree  $d_3 - 1$ . Let  $v_3$  be that vertex and like that I will have a vertex of degree this much. Let  $v_{d1+1}$  be the vertex with that much degree and like that I will have a vertex of degree  $d_n$  and let  $v_n$  be the vertex with that degree. That is the implication of

assuming my premise to be true. Now, my graph G\* is a simple graph remember, apart from

that, I do not know anything about G\* whether it is connected or not connected and so on.

Now from G\*, I have to build another graph G which has n nodes, which is simple and whose

degree sequence is the same as the sequence S, that is what is the implication. So the

construction of the graph G is very simple. I take a copy of G\* as it is and since I have to give

a graph which has n nodes, but since I have taken the graph G\* I have currently n - 1 nodes.

So, what I will do is I will now include a new node: call it v<sub>1</sub> and I have to give some edges to

this vertex  $v_1$ .

So, what I do is, I add the edge between the vertex  $v_1$  and the vertex  $v_2$  which has earlier

degree  $d_2 - 1$ . I add an edge between the vertex  $v_1$  and the vertex  $v_3$  which had earlier the

degree  $d_3$  - 1 and similarly, I add the edge between the vertex  $v_1$  and vertex number  $d_1 + 1$ 

which had earlier the degree  $d_1 + 1$  and the remaining edges they remain as it is in the graph

G. Now, what can I say about the new degree for the vertex  $v_2$  it will be one more than what

it was earlier.

So, earlier the degree was d<sub>2</sub> - 1, but now, since I have given a new edge to the node v<sub>2</sub> its

degree will now become d<sub>2</sub>; similarly, the new degree of the vertex v<sub>3</sub> will become one more

than it was earlier so, it will become  $d_3$  and like that degree of the d + 1th vertex will be one

more than what it was earlier. So, it will become this much and the degrees of the remaining

vertices will remain as it was earlier and what can I say about the degree of the vertex  $v_1$ ; it

will be d<sub>1</sub>.

Because I have added  $d_1$  edges incident with the vertex  $v_1$  and now, you can see that this

sequence is nothing but the sequence S that means in the sequence S you need to have 1

vertex of degree d<sub>1</sub>. So, I have one such vertex namely v<sub>1</sub>. You need to have a vertex of

degree d<sub>2</sub>. I have one such vertex namely v<sub>2</sub> you need to have a vertex of degree d<sub>n</sub> I have one

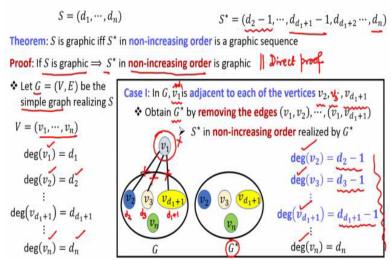
such vertex namely d<sub>n</sub>. So, I have now a simple graph whose degree sequence is same as the

sequence S. So, that shows that this implication is true.

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## Q8: Havel-Hakimi Theorem



Now, let us prove the implication in the reverse direction. So, I want to prove that if your sequence S is graphic, then the reduced sequence S\* when arranged in a non increasing order is also graphic. And again I will give a direct proof; that means I will assume that my premise is true and I will arrive at my conclusion. So, since my premise is true that means, I have a simple graph call it G with n nodes.

And some edges whose degree sequence is same as the sequence S; that means, you have n vertices say  $v_1$  to  $v_n$  and let  $v_1$  be the vertex which has degree  $d_1$ ,  $v_2$  be the vertex which has degree  $d_2$  and  $v_n$  has the vertex which has the degree  $d_n$ . Now, from this graph I have to arrive at another graph, which is simple with n - 1 nodes and which realises the sequence  $S^*$ : the reduced sequence  $S^*$ .

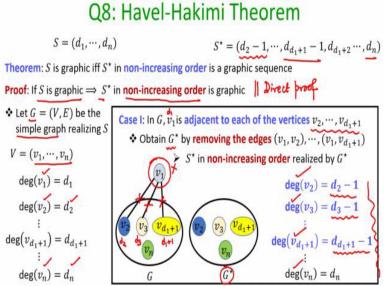
So, how do I do that, so, I will use now a proof by cases. So, once I assume my premise to be true, I will do a proof by cases because there will be 2 cases which will be happening depending upon what exactly is the structure of the graph G. So, your case 1 will be the following imagine your simple graph G is such that the vertex  $v_1$  which has degree  $d_1$  is adjacent to the vertex which has degree  $d_2$  it is adjacent to the vertex which has degree  $d_3$  it is adjacent to the vertex  $v_4$  which has degree  $d_4$  and like that, it is adjacent to the vertex which has degree  $d_1 + 1$ . Suppose that is the case. Case 2 will be when this is not the case. So, case 1 is when  $v_1$  is adjacent to the vertex which has degree  $d_2$ , it is adjacent to the vertex  $v_3$  which has degree  $d_3$  and it is adjacent to the vertex which has degree  $d_1 + 1$ . Now, let us see what will happen if I delete this vertex  $v_1$  and the edges which are incident with the vertex  $v_1$  because if I delete the vertex  $v_1$  of course, these edges will no longer be there.

So, I will obtain now, a new graph  $G^*$ , which will be of course, simple because my original graph G was simple. So, I am not adding any edges I am deleting edges, so, by deleting edges, I will still obtain a simple graph. So, my graph  $G^*$  will be a simple graph and it will have n-1 nodes because I am reducing 1 vertex namely  $v_1$ . Now, what can I say about the new degrees of  $v_2$ ,  $v_3$  and vertex number  $d_1+1$  well the degree of  $v_2$  will be 1 less than what it was earlier, because the edge between  $v_2$  and  $v_1$  has vanished. The degree of  $v_3$  will be 1 less than what it was earlier, because the edge between  $v_3$  and  $v_1$  has vanished and the degree of the d+1th vertex will be 1 less than what it was earlier, because the edge between the d+1th vertex and vertex number  $v_1$  has vanished. The degrees of the remaining vertices will remain as it was in the graph G.

So, now, what can you say about this sequence, I can say that this sequence is nothing but the sequence  $S^*$  in non increasing order, namely I can say that there is a graph, a simple graph namely  $G^*$ , which realises the sequence  $S^*$  because in  $S^*$  in order that  $S^*$  is a graphic sequence, you need a vertex of degree  $d_2$  - 1 in  $G^*$  and you have one such vertex namely  $v_2$  you need 1 vertex of degree  $d_3$  - 1 in  $G^*$  and you have one such vertex namely  $v_3$  and you need 1 vertex of degree this much.

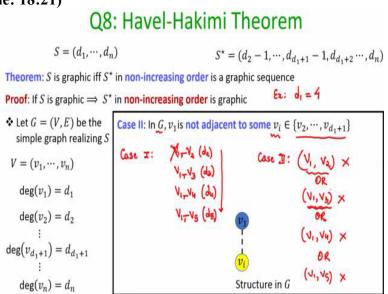
And you have a vertex in  $G^*$  with that much degree you need a vertex of degree  $d_n$  in  $G^*$  and you have a vertex whose degrees is  $d_n$ . So, that means, now I can say that  $G^*$  can realise the sequence  $S^*$  and hence my sequence  $S^*$  is also graphic so, that is case 1.

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Now, case 2 will be the following: case 2 occurs where in the graph G which realises your sequence S the structure is as follows: there is at least 1 vertex  $v_i$  in the set  $v_2$  to the d+1th vertex such that  $v_1$  is not adjacent to that vertex. So, what do I mean to say here is the following in case 1 if you see the situation was that  $v_1$  was adjacent, so,  $v_1$  degree was  $d_1$  and those  $d_1$  edges were contributed from the next  $d_1$  vertices namely the next  $d_1$  vertices which has the degree  $d_2$ ,  $d_3$ ,  $d_4$  and  $d_1 + 1$  that was case 1.

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In case 2 we are considering the case where this is not happening. That means, you have at least 1 vertex  $v_i$  outside this set  $v_2$  to vertex number  $d_1 + 1$  such that  $v_1$  is not adjacent to  $v_i$ . So, what do I mean by that for instance, imagine that your  $d_1$  is equal to say 4. In case 1, what was happening is the following you need  $v_1$  to have 4 edges incident with  $v_1$  that means 4 edges should be incident with  $v_1$  that is why its degree was 4.

So, those 4 edges were between  $v_1$  and  $v_2$  where the degree of  $v_2$  was  $d_2$ , it was between  $v_1$  and  $v_3$  where the degree of  $v_3$  was  $d_3$ , it was between  $v_1$  and  $v_4$  where the degree of  $v_4$  is  $d_4$  and it was between  $v_4$  and  $v_5$  where the degree of  $v_5$  is  $d_5$  and of course the degrees are now in non increasing order that was happening in case 1, but in case 2 what is happening is your degree  $d_1$  is still 4.

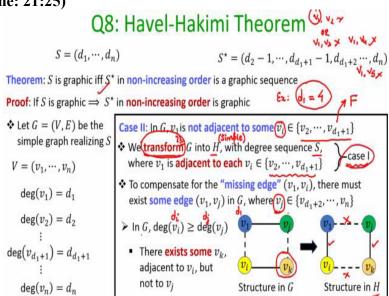
But either the edge between  $v_1$  and  $v_2$  is missing or the edge between  $v_1$  and  $v_3$  is missing or the edge between  $v_1$  and  $v_4$  is missing or the edge between  $v_1$  and  $v_5$  is missing where  $v_2$ ,  $v_3$ ,  $v_4$  and  $v_5$  are the vertices with degree  $d_2$ ,  $d_3$ ,  $d_4$  and  $d_5$  in the graph G respectively. So now I cannot run the same argument, which are used in case 1. In case 1, I simply deleted  $v_1$  due to

which all these edges which are there between  $v_1$  and vertex 2, vertex 3, vertex 4, vertex 5, they vanished.

And the degrees of  $d_2$ ,  $d_3$ ,  $d_4$ ,  $d_5$  automatically got decremented by 1, I cannot run the same argument here. Because, say for instance, if the edge between  $v_1$  and  $v_2$  is missing, then by deleting  $v_1$ , I cannot say that the degree of  $v_2$  gets decremented to  $d_2$  - 1, because  $v_2$  is not adjacent to  $v_1$ . Its degree will remain the same namely  $d_2$  or say for instance, the edge between  $v_1$  and  $v_3$  is not there, then deleting  $v_1$  will not change the degree of vertex  $v_3$ , it will still remain  $d_3$  and so on.

So, I cannot run the same argument which I easily or conveniently used for case number 1, I have to do something more to handle the case number 2, and by the way, these are the only 2 cases either case 1 could occur or case 2 could occur, there cannot be any third case possible.

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So, the proof strategy here will be the following. What I will do is I will do some transformation and we will see how exactly the transformation happens we will do some transformation on the graph G and convert it into another simple graph H with n vertices and with the same degree sequence S, that is important that means whatever what the characteristics of G were they remain the same.

So, G was simple that transformed graph H also will be simple, G had n nodes, that transformed graph H also will have n nodes, the number of edges in G will be the same as the number of edges in H and G was simple H will be simple. G realised the degree sequences

and a transformed graph H also will realise the same degree sequences, but H will now have a characteristic which was not there in the graph G.

So, in the graph G there was some node  $v_i$  in this set. So, let me call this set as F so, there was some node  $v_i$  in the set F such that  $v_1$  was not adjacent to that node  $v_i$ , but after transformation what we will do is we will ensure that  $v_1$  is adjacent to each node in the set F, that means, the degree  $d_1$  which was attributed to the vertex  $v_1$  is coming because of the edges between the vertex  $v_1$ ,  $v_2$ , vertex  $v_1$ ,  $v_3$ , vertex  $v_1$ ,  $v_4$ , vertex  $v_1$  and d+1th vertex.

That means, what I can say now is that my transformed graph H is exactly having the same structure as we had for the graph G in case 1 and now, I can apply the same argument that we used for case 1. So, now, I will say that I will forget about the graph G I will say that now I have a graph H, which is simple which has n nodes and which realises the degree sequence S and where the vertex with the highest degree  $d_1$  is adjacent to the next immediate  $d_1$  vertices.

So, I can remove the vertex  $v_1$  and argue that because of the removal of the vertex  $v_1$  the degree of the next to  $d_1$  vertexes will get decremented by 1 and that will be an instantiation or realisation for the sequence S\*. So, that is a proof idea. So, now, everything boils down to how exactly we do the transformation. So, the transformation is as follows so, remember the structure in the graph G is the following: there is at least 1 node  $v_i$  in the set F such that the edge between  $v_1$  and  $v_i$  is missing.

And I also know that since the degree of the vertex  $v_1$  is  $d_1$  and edge between  $v_1$  and  $v_i$  is missing. So, to compensate this missing edge namely to ensure that the vertex  $v_1$  has the degree  $d_1$  there must be some outside vertex and what do I mean by outside vertex namely that vertex S not in the set F, but in the remaining  $n - d_1$  vertices. It is not among the first  $d_1$  vertices. So, this vertex  $v_j$  is the outside vertex and there must be an edge between  $v_1$  and that outside vertex  $v_j$  because we have to take care of the fact that the degree of  $v_1$  is  $d_1$ .

So again, for instance, what I am saying here is if  $d_1$  is 4. So in case 2, we know that either the edge between  $v_1$  and  $v_2$  is missing, or the edge  $v_1$ ,  $v_3$  is missing, or the edge  $v_1$ ,  $v_4$  is missing, or the edge  $v_1$ ,  $v_5$  is missing. But since I have to give degree 4 to the vertex  $v_1$ , that means  $v_1$  is adjacent to either vertex 6 or vertex 7 or vertex 8 and so on. So, that is the vertex  $v_1$  that is outside vertex  $v_1$  in my current context.

And what I know is that in my graph G the vertex v<sub>i</sub>, its degree d<sub>i</sub> is as large as the degree of

the vertex v<sub>i</sub> because that is the structure of my graph G. So, that means there must be some

neighbour of v<sub>i</sub> call it v<sub>k</sub>, which is not a neighbour of v<sub>i</sub>. Because if every neighbour of v<sub>i</sub> is

also a neighbour of  $v_j$  and on top of that  $v_i$  is a neighbour of  $v_1$ . But  $v_i$  is not a neighbour of

v<sub>1</sub>, we arrive at the conclusion that the degree d<sub>i</sub> is more than the degree d<sub>i</sub>, which is not the

case.

So that is a very simple proof of the fact that there must be some neighbour namely  $v_k$ , which

is there must be some neighbour  $v_k$  of  $v_i$ , which is not a neighbour of  $v_i$ . So that is a structure

present in your graph. Now, what the transformation does is the following. Since the edge

between v<sub>1</sub> and v<sub>i</sub> is missing in G, but after transformation, I want that edge to be present.

So, I add the edge but that will increment the degree v<sub>i</sub> or degree of v<sub>i</sub>, but I do not want to do

that. So to compensate this new edge, which I have given to v<sub>i</sub>, I take away the edge, which

was earlier present between  $v_i$  and  $v_k$ . So, that ensures that the degree of  $v_i$  remains the same.

And I have to take away the edge between v<sub>1</sub> and v<sub>i</sub> because since I am giving a new edge to

 $v_1$ , the degree of  $v_1$  will get incremented, which I do not want to do.

So, to compensate that I take away the edge between  $v_1$  and  $v_i$ , which was earlier there, but

that will reduce the degree of v<sub>i</sub>. again, which I do not want to do and to compensate that I

add the edge between  $v_i$  and  $v_k$  and this whole process, I am not disturbing the property that

my graph G or the transformed graph H is a simple graph. So, my transformed graph H still

remains a simple graph.

But by doing this transformation, what I have done is the following: earlier this vertex v<sub>i</sub> was

not immediately a neighbour of  $v_1$ , but now in my transformed graph  $v_i$  is a neighbour of  $v_1$ .

So, I can repeatedly apply this transformation for all the outside vertices v<sub>i</sub> and after doing the

required number of transformation, I will get my graph H which will have the same structure

as in case 1 and then the proof becomes the same as it was in the case 1. So, that proves the

implication in the other direction.

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